### PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q76591

Takuro NISHIMURA, et al.

Appln. No.: 10/620,412

Group Art Unit: 1797

Confirmation No.: 9610

Examiner: Robert J. Popovics

Filed: July 17, 2003

For: SOLUTION FILM-FORMING METHOD

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REQUEST FOR REFUND U.S. Appln. No.: 10/620,412

Attorney Docket No.: Q76591

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11	] ]	07	15540	11933449	0104342	111		
11	1	07	15541	11933449	Q104342	131		
111	1	07	15542	11933449	0104342	1202		1 1
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111	1	07	15642	11913316	Q104194	1633		
111	1	07	16101	11913324	Q104666	1631		
11	1	07	16102	11913324	Q104666	1642		
[11]	1	07	16103	11913324	Q104666	1633	210.00	
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111	1	07	1	10565004	Q92479	1202		
111	1	07	12	REPLENISHMENT		9204		
111	1	07	13	REPLENISHMENT		9204		267891.75
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11	1	07	17090	78308632	GH0138/14272699	7003	100.00	267076.75
11	1	07	17191	11060578	Q86366	1253		
11		07	49	10369563	A8536	1801		
		07	17357	11856095	Q103748	1462		264816.75
		07	17376	10401706	073346	1252		
111		07	17377	10401706	Q7 <u>3</u> 346	1801		
11		07	215	10620412	Q76591	1463	1	
11		07	61	10048212	Q68293	1801	810.00	
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11		07	17946	10928407	Q83369	1253		261546.75
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cient cause. The Office will not suspend action if a reply by applicant to an Office action is outstanding. Any petition for suspension of action under this paragraph must specify a period of suspension not exceeding six months. Any petition for suspension of action under this paragraph must also include:

- (1) A showing of good and sufficient cause for suspension of action; and
- (2) The fee set forth in § 1.17(g), unless such cause is the fault of the Office.
- (b) Limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d). On request of the applicant, the Office may grant a suspension of action by the Office under this paragraph in a continued prosecution application filed under § 1.53(d) for a period not exceeding three months. Any request for suspension of action under this paragraph must be filed with the request for an application filed under § 1.53(d), specify the period of suspension, and include the processing fee set forth in § 1.17(i).
- (c) Limited suspension of action after a request for continued application (RCE) under § 1.114. On request of the applicant, the Office may grant a suspension of action by the Office under this paragraph after the filing of a request for continued examination in compliance with § 1.114 for a period not exceeding three months. Any request for suspension of action under this paragraph must be filed with the request for continued examination under § 1.114, specify the period of suspension, and include the processing fee set forth in § 1.17(i).
- (d) Deferral of examination. On request of the applicant, the Office may grant a deferral of examination under the conditions specified in this paragraph for a period not extending beyond three years from the earliest filing date for which a benefit is claimed under title 35, United States Code. A request for deferral of examination under this paragraph must include the publication fee set forth in § 1.18(d) and the processing fee set forth in § 1.17(i). A request for deferral of examination under this paragraph will not be granted unless:
- (1) The application is an original utility or plant application filed under § 1.53(b) or resulting from entry of an international application into the national stage after compliance with § 1.495;

- (2) The applicant has not filed a nonpublication request under § 1.213(a), or has filed a request under § 1.213(b) to rescind a previously filed nonpublication request;
- (3) The application is in condition for publication as provided in § 1.211(c); and
- (4) The Office has not issued either an Office action under 35 U.S.C. 132 or a notice of allowance under 35 U.S.C. 151.
- (e) Notice of suspension on initiative of the Office. The Office will notify applicant if the Office suspends action by the Office on an application on its own initiative.
- (f) Suspension of action for public safety or defense. The Office may suspend action by the Office by order of the Director if the following conditions are met:
- (1) The application is owned by the United States;
- (2) Publication of the invention may be detrimental to the public safety or defense; and
- (3) The appropriate department or agency requests such suspension.
- (g) Statutory invention registration. The Office will suspend action by the Office for the entire pendency of an application if the Office has accepted a request to publish a statutory invention registration in the application, except for purposes relating to patent interference proceedings under part 41, subpart D, of this title.
- [24 FR 10332, Dec. 22, 11959; 33 FR 5624, Apr. 11, 1968; paras. (a) and (b), 47 FR 41276, Sept. 17, 1982, effective Oct. 1, 1982; para. (d), 49 FR 48416, Dec. 12, 1984, effective Feb. 11, 1985; para. (d), 50 FR 9381, Mar. 7, 1985, effective May 8, 1985; para. (a), 54 FR 6893, Feb. 15, 1989, effective Apr. 17, 1989; para. (a) revised, 60 FR 20195, Apr. 25, 1995, effective June 8, 1995; para. (a) revised, 62 FR 53131, Oct. 10, 1997, effective Dec. 1, 1997; revised, 65 FR 50092, Aug. 16, 2000, effective Aug. 16, 2000; paras. (d) through (f) redesignated as (e) through (g) and para. (d) added, 65 FR 57024, Sept. 20, 2000, effective Nov. 29, 2000; para. (d)(1) revised, 67 FR 520, Jan. 4, 2002, effective Apr. 1, 2002; para. (f) revised, 68 FR 14332, Mar. 25, 2003, effective May 1, 2003; para. (g) revised, 69 FR 49959, Aug. 12, 2004, effective Sept. 13, 2004; para. (a)(2) revised, 69 FR 56481, Sept. 21, 2004, effective Nov. 22, 2004]

1201/220		Independent claims in excess of three	210.00	105.0
1202/2202		Claims in excess of 20	50.00	25.0
1203/2203	3 1.16(j)	Multiple dependent claim	370.00	185.0
1051/2051	1 1.16(f)	Surcharge - Late filing fee, search fee, examination fee or oath or declaration	130.00	65.0
1081/2081	81 1.16(s) Utility Application Size Fee - for each additional 50 sheets that exceeds 100 sheets		260.00	130.0
1012/2012	Basic filing fee - Design filed on or after December 8, 2004		210.00	105.0
1002/2002	1.16(b)(2)	(2) Basic filing fee - Design filed before December 8, 2004		180.0
1017/2017	1.16(b)(1)	Basic filing fee - Design (CPA) filed on or after December 8, 2004	210.00	105.0
1007/2007	1.16(b)(2)	Basic filing fee - Design (CPA) filed before December 8, 2004	360.00	180.0
1082/2082	1.16(s)	Design Application Size Fee - for each additional 50 sheets that exceeds 100 sheets	260.00	130.0
1013/2013	1.16(c)(1)	Basic filing fee - Plant filed on or after December 8, 2004	210.00	105.0
1003/2003	1.16(c)(2)	Basic filing fee - Plant filed before December 8, 2004	570.00	285.0
1083/2083	1.16(s)	Plant Application Size Fee - for each additional 50 sheets that exceeds 100 sheets		130.0
1014/2014	1.16(e)(1)	Basic filing fee - Reissue filed on or after December 8, 2004	310.00	155.0
1004/2004	1.16(e)(2)	Basic filing fee - Reissue filed before December 8, 2004	810.00	405.0
1019/2019	1.16(e)(1)	Basic filing fee - Design Reissue (CPA) filed on or after December 8, 2004	310.00	155.0
1009/2009	1.16(e)(2)	6(e)(2) Basic filing fee - Design Reissue (CPA) filed before December 8, 2004		405.0
204/2204	1.16(h)	1.16(h) Reissue independent claims in excess of three		105.0
205/2205	1.16(i)	Reissue claims in excess of 20	50.00	25.0
084/2084	1.16(s) Reissue Application Size Fee - for each additional 50 sheets that exceeds 100 sheets		260.00	130.0
005/2005	1.16(d)	Provisional application filing fee	210.00	105.0
085/2085	1.16(s)	Provisional Application Size Fee - for each additional 50 sheets that exceeds 100 sheets		130.0
052/2052	1.16(g)	Surcharge - Late provisional filing fee or cover sheet	50.00	25.00
053	1.17(i)	Non-English specification	130.00	
		ee code may be used via EFS-Web at http://www.uspto.gov/ebc/efs_	help.html	
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111/2111		Utility Search Fee	510.00	255.00
112/2112		Design Search Fee	100.00	50.00
113/2113	1.16(m)	Plant Search Fee	310.00	155.00